

# Wavefront sensing from speckle images with polychromatic phase diversity

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# High angular resolution in Astronomy

## Context

HRA in Astronomy  
P-Laser Guide Star  
Tip-tilt estimation

## Phase retrieval pb

Inverse problem  
Bayesian formulation  
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Global strategy  
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**Angular resolution**  $\rightarrow \propto \lambda/D$  for an isolated telescope  
 $\rightarrow \propto \lambda/r_0$  with atmospheric turbulence

$$D/r_0(\lambda) \gg 1$$



*BOA-Onera*

►  $D \simeq 30, 40$  m (TMT,E-ELT),  $\lambda = 500$  nm,  $r_0(\lambda) = 15$  cm

**$\Rightarrow$  Resolution loss factor  $D/r_0 \simeq 200$**

# Polychromatic Laser Guide Star

R. Foy et al. 1995

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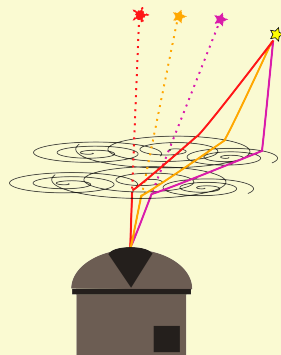
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*AO limitations due to  
tip-tilt indetermination*



## ☆ Use of air refractive index chromaticity

**Searched  
tip-tilt**

$$\theta(\lambda) = \underbrace{\frac{n(\lambda) - 1}{n(\lambda_2) - n(\lambda_1)}}_{\zeta(\lambda_1, \lambda_2)} \times \overbrace{[\theta(\lambda_2) - \theta(\lambda_1)]}^{\text{Measured tip-tilts}}$$

**Measured tip-tilts**

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### • Amplification of the tip-tilt error :

$$\sigma_{\theta_{\lambda}} = \zeta(\lambda_1, \lambda_2) \times \sigma_{\Delta\theta_{\lambda_1, \lambda_2}} \quad \text{where } \zeta(\lambda_1, \lambda_2) > 25$$

### • Degradation of Cramér-Rao lower bound :

$$\tilde{\theta}_{\text{ML}} = \max_{\theta} g(\mathbf{x}|\theta) \implies \sigma_{\theta}^{+2} = - \left( E \left[ \frac{\partial^2 \ln(g(\mathbf{x}|\theta))}{\partial \theta^2} \right] \right)_{\theta=\theta^+}^{-1}$$

$$\text{Airy pdf : } \sigma_{\theta}^{+} = \frac{\kappa}{\sqrt{N_{\text{ph}}}} \times \frac{\lambda}{D}$$

$$\text{Average pdf of speckle images : } \sigma_{\theta}^{+} \simeq \frac{0.3 \kappa}{\sqrt{N_{\text{ph}}}} \times \frac{\lambda}{r_0}$$

$\sim 0.3 \times D/r_0$  increase  
due to turbulence

**Joint wavefront estimation from the images  
to attain the ultimate centering accuracy**

# The inverse problem

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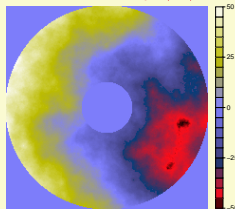
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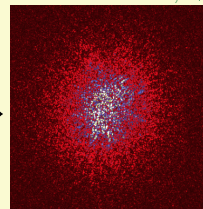
## Forward model :

$$\begin{array}{c} \text{data} \quad \text{parameters} \\ \downarrow \quad \downarrow \\ \mathbf{h} = \mathbf{m}(\varphi) + \mathbf{n} \\ \uparrow \quad \uparrow \\ \text{model} \quad \text{noise} \end{array}$$

turbulent  $\varphi(\mathbf{u})$ 

Telescope pupil

causes

observed psf  $h_{\lambda,t}(\mathbf{x})$ 

Telescope focal plane

$$\text{where } \mathbf{m}(\varphi) = \alpha |FT[\mathbf{P} \times \exp(j\varphi)]|^2$$

## The inverse approach :

Reconstruction of  $\varphi(\mathbf{u})$  given  
data  $h_{\lambda,t}(\mathbf{x})$ , forward model  $\mathbf{m}(\varphi)$   
and statistics of noise  $\mathbf{n}$

# Bayesian formulation

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$$\varphi^+ = \max_{\varphi} \{\Pr[\varphi|\mathbf{h}]\} = \max_{\varphi} \{\Pr[\mathbf{h}|\varphi] \times \Pr[\varphi]\} \quad \text{MAP criterion}$$

$$\rightarrow \text{optimization of } \mathbf{f}(\varphi) = \underbrace{\mathbf{f}_{\text{exp}}(\varphi)}_{-\log(\Pr[\mathbf{h}|\varphi])} + \underbrace{\mu \mathbf{f}_{\text{prior}}(\varphi)}_{-\log(\Pr[\varphi])}$$

*Gaussian prior statistics*

$$\mathbf{f}_{\text{prior}}(\varphi) = \varphi^{\top} \cdot \mathbf{C}_{\varphi}^{-1} \cdot \varphi$$

where  $\mathbf{c}_{\varphi} = \langle \varphi \cdot \varphi^{\top} \rangle$   
(Kolmogorov covariance)

*Uncorrelated low count data*

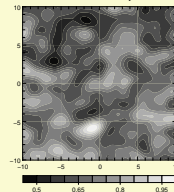
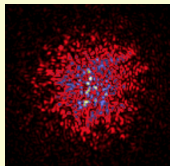
$$\mathbf{f}_{\text{exp}}^{\text{Poisson}}(\varphi) = \sum_j [\mathbf{m}(\varphi) - \mathbf{h} \log(\mathbf{m}(\varphi))]_j$$

*Higher count or additive gaussian noise*

$$\mathbf{f}_{\text{exp}}^{\text{Gauss}}(\varphi) = [\mathbf{h} - \mathbf{m}(\varphi)]^{\top} \cdot \mathbf{C}_n^{-1} \cdot [\mathbf{h} - \mathbf{m}(\varphi)]$$

# Ill-posed inverse problem

- ▶ Intrinsic degeneracies of  $\mathbf{m}(\varphi)$ 
  - sign of all even phase modes :  $m[\varphi(\mathbf{r})] = m[-\varphi(-\mathbf{r})]$
  - modulo  $2\pi$  periodicity :  $m[\varphi] = m[\varphi + 2\mathbf{k}(\mathbf{r})\pi] \quad \forall \varphi_j$
- ▶ Strong local minima of  $f_{\text{exp}}(\varphi)$  (non-convex criterion)
  - Translation ambiguity (speckle overlapping between  $\mathbf{m}$  and  $\mathbf{h}$ )



- Loose sign ambiguity of individual even phase modes
- Alteration of the speckle pattern (new speckles) due to the noise

**Need of a global optimization strategy**

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# Large-scale non-linear optimization

*Though the criterion is non-convex, faster to make the most of the good local continuity — given size of the pb — than Monte-Carlo*

## Local quadratic approximation :

$$f(\varphi + \delta\varphi) - f(\varphi) = \mathbf{g}_\varphi^\top \cdot \delta\varphi + \frac{1}{2} \delta\varphi^\top \cdot \mathbf{B}_\varphi \cdot \delta\varphi + o(\|\delta\varphi\|^2)$$

$$\Rightarrow \text{Newton step } \boxed{\delta\varphi^+ = -\mathbf{B}_\varphi^{-1} \cdot \mathbf{g}_\varphi} \quad \text{with}$$

- $\mathbf{g} = \nabla f(\varphi)$  (gradient)
- $\mathbf{B} = \nabla^2 f(\varphi)$  (hessian)
- $o(\|\delta\varphi\|^2) \simeq 0$

- ▶  $\mathbf{B}_\varphi$  too expensive to compute owing to the framerate ( $\sim 50$  image/s)
- ▶  $\mathbf{B}_\varphi$  too big for inversion ( $N_\varphi \times N_{\text{data}} \sim 10^8$  scalars)

**Need of fast and very efficient  
limited-memory local optimization**

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# Correlation between values of criterion $f_{\text{exp}}$ and wavefront reconstruction error

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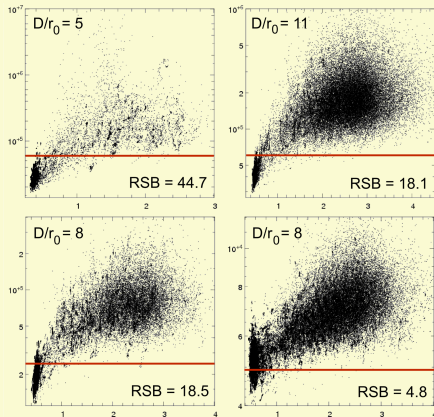
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**observable**

*Value of experimental criterion  $f_{\text{exp}}$*



*Wavefront reconstruction error (radian rms)*

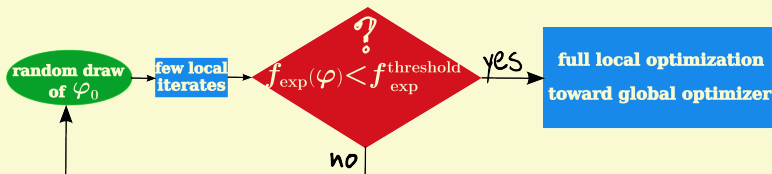
**unknown**

# Global optimization strategy

## ➤ Uncorrelated wavefronts

*No proper initialization available for local optimisation but:*

- Global convergence guaranteed below some threshold  $f_{\text{exp}}^{\text{threshold}}$
- Decision can be made in very few iterations because of faster convergence rate in the first iterations



## ➤ Time-correlated wavefronts

*Only local optimization is needed by taking last reconstruction as an initial guess for next wavefront reconstruction*

(better guess by modeling the wavefront evolution according to Taylor hypothesis)

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# Tuning of the regularization weight

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*Weight of convex  $f_{\text{prior}}$  is enforced in first iterations by tuning*

$$\mu \text{ so that } \delta f_{\text{exp}}[\varphi, \delta\varphi(\mu)] \simeq \underset{\substack{\uparrow \\ \in [0, 1]}}{\epsilon} \times \delta f_{\text{exp}}[\varphi, \delta\varphi(\mu = 0)]$$

$$\text{where } \delta\varphi(\mu) = -(\mathbf{B}_{\text{exp}} + \mu \mathbf{B}_{\text{prior}})^{-1} \cdot (\mathbf{g}_{\text{exp}} + \mu \mathbf{g}_{\text{prior}})$$

★ **constrain the reconstruction to consistent  $\varphi$  by :**

- disentangling  $m(\varphi)$  degeneracies
- smoothing out some local minima

★ **automatic adaptation to noise and turbulence strength**

# Local optimization algorithm

**Subspace of privileged search directions:**  $\delta\varphi = \sum_{i=1}^{N_{\text{dir}} \ll N_{\varphi}} \beta_i \mathbf{s}_i$

$$\boxed{\boldsymbol{\beta}^+ = -\mathbf{B}'^{-1} \cdot \mathbf{g}'} \iff \delta f^{\text{quad}}(\boldsymbol{\beta}) = \mathbf{g}'^{\top} \cdot \boldsymbol{\beta} + \frac{1}{2} \boldsymbol{\beta}^{\top} \cdot \mathbf{B}' \cdot \boldsymbol{\beta}$$

where  $\mathbf{B}' = \mathbf{S}^{\top} \cdot \mathbf{B} \cdot \mathbf{S}$  and  $\mathbf{g}' = \mathbf{S}^{\top} \cdot \mathbf{g}$

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$$\boxed{\beta^+ = -\mathbf{B}'^{-1} \cdot \mathbf{g}'} \iff \delta f^{\text{quad}}(\beta) = \mathbf{g}'^{\top} \cdot \beta + \frac{1}{2} \beta^{\top} \cdot \mathbf{B}' \cdot \beta$$

where  $\mathbf{B}' = \mathbf{S}^{\top} \cdot \mathbf{B} \cdot \mathbf{S}$  and  $\mathbf{g}' = \mathbf{S}^{\top} \cdot \mathbf{g}$

- *Directions built according to Taylor expansion, using the prior to ensure valid metric with fast fractal implementation*  
(FRIM Thiébaud & Tallon 2008)

$$\bullet \mathbf{s}_1^{(k)} = \delta\varphi^{(k-1)}$$

$$\bullet \mathbf{s}_2 = -\mathbf{B}_{\text{prior}}^{-1} \cdot \mathbf{g}_{\text{prior}}$$

$$\bullet \mathbf{s}_3 = -\mathbf{B}_{\text{prior}}^{-1} \cdot \mathbf{g}_{\text{exp}}$$

$$\bullet \mathbf{s}_{i+1} = -\mathbf{B}_{\text{prior}}^{-1} \cdot \mathbf{B}_{\text{exp}} \cdot \mathbf{s}_i \quad (\text{e.g. } i = 4, 5)$$

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## Subspace of privileged search directions:

$$\delta\varphi = \sum_{i=1}^{N_{\text{dir}} \ll N_{\varphi}} \beta_i \mathbf{s}_i$$

$$\boxed{\beta^+ = -\mathbf{B}'^{-1} \cdot \mathbf{g}'}$$

$$\Longleftarrow \delta f^{\text{quad}}(\beta) = \mathbf{g}'^{\top} \cdot \beta + \frac{1}{2} \beta^{\top} \cdot \mathbf{B}' \cdot \beta$$

where  $\mathbf{B}' = \mathbf{S}^{\top} \cdot \mathbf{B} \cdot \mathbf{S}$  and  $\mathbf{g}' = \mathbf{S}^{\top} \cdot \mathbf{g}$

- $\mathbf{A}_{\text{exp}}$  is an approximation of the too expensive hessian  $\mathbf{B}_{\text{exp}}$ :

$$\mathbf{A}_{\text{exp}}^{\text{gauss}} = \mathbf{J}^{\top} \cdot \mathbf{C}_n^{-1} \cdot \mathbf{J}$$

Linearizing  $\mathbf{m}(\varphi)$  yields

$$\mathbf{A}_{\text{exp}}^{\text{poisson}} = \mathbf{J}^{\top} \cdot \text{Diag}\left[\frac{\mathbf{h}}{\mathbf{m}^2}\right] \cdot \mathbf{J}$$

where jacobian  $\mathbf{J}_{k,l} = \frac{\partial m_k(\varphi)}{\partial \varphi_l}$  need not be formed and is implemented for fast vector product

- Step-length controled via trust-region to valid quadratic approximation

$$\min_{\delta\varphi} \delta f_{\varphi}^{\text{quad}}(\delta\varphi) \quad \text{so that} \quad \|\delta\varphi\|_{\mathbf{M}}^2 \leq \Delta$$

# Cost with respect to the turbulence strength

*Number of random wavefront draws (with prior covariance) needed to attain a given reconstruction error after very few local iterates is investigated through quartiles of a large sampling of wavefront's statistics*

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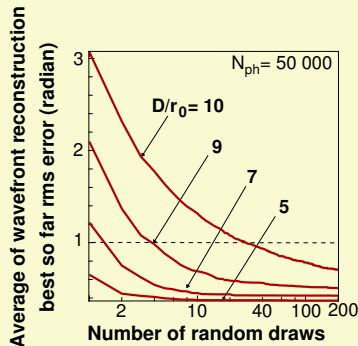
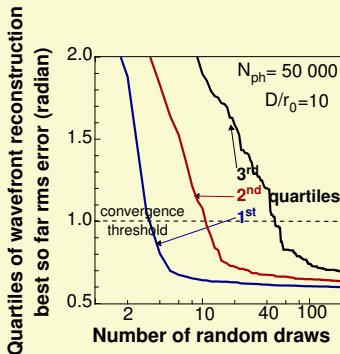
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**High increase of local minima with  $D/r_0$   
(bad tip-tilt estimation by image centroid)**

## Final convergence

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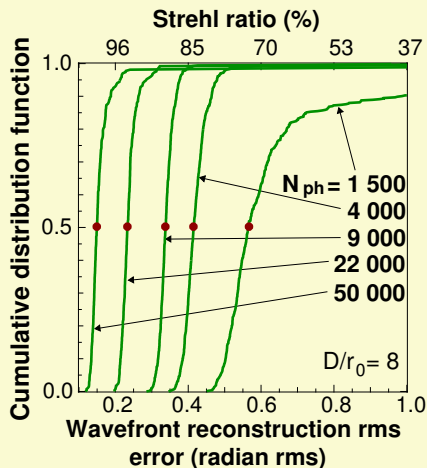
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In agreement with SNR degradation. Could be compared to a linearized estimator of the covariance error assuming local convexity



# Polychromatic phase diversity

*Use of images at different wavelengths with same optical path*

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**Polychromatic model:** 
$$\varphi_{\lambda_i}(\mathbf{r}) = \frac{\lambda_{\text{ref}}}{\lambda_i} \frac{(n_{\lambda_i} - 1)}{(n_{\lambda_{\text{ref}}} - 1)} \times \varphi_{\lambda_{\text{ref}}}(\mathbf{r})$$

**MAP criterion:** 
$$f(\varphi_{\lambda_{\text{ref}}}) = \sum_k \mu_k f_{\text{exp}, \lambda_k}(\varphi_{\lambda_{\text{ref}}}) + f_{\text{prior}}(\varphi_{\lambda_{\text{ref}}})$$

★  $f_{\text{exp}, \lambda}$  at long  $\lambda$  used as a smooth guide for shorter wavelength:  
(local minima and degeneracies ↗ when  $\lambda \searrow$ )

•  $\mu_1^+$  so that  $\delta f_{\text{exp}, \lambda_1}[\delta \varphi(\mu_1, \mu_2=0)] \simeq \epsilon_1 \times \delta f_{\text{exp}, \lambda_1}[\delta \varphi(\mu_1 = \infty, \mu_2=0)]$   
 $\downarrow$   
 $\in [0, 1]$

then

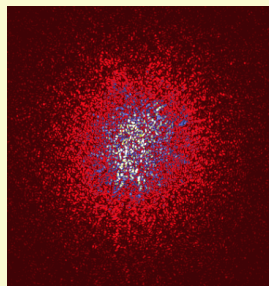
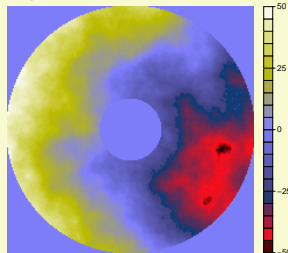
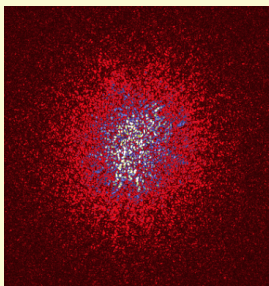
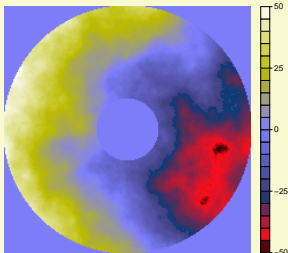
•  $\mu_2^+$  so that  $\delta f_{\text{exp}, \lambda_2}[\delta \varphi(\mu_1^+, \mu_2)] \simeq \epsilon_2 \times \delta f_{\text{exp}, \lambda_2}[\delta \varphi(\mu_1^+, \mu_2 = \infty)]$   
 $\downarrow$   
 $\in [0, 1]$

**Polychromatic level-arm enables to deal with much higher D/r<sub>0</sub> (~80 i.e. N<sub>φ</sub> ≥ 7000)**

## Results

$D/r_0 = 58$  et  $N_{ph} = 500\,000$

Simulated



Reconstructed

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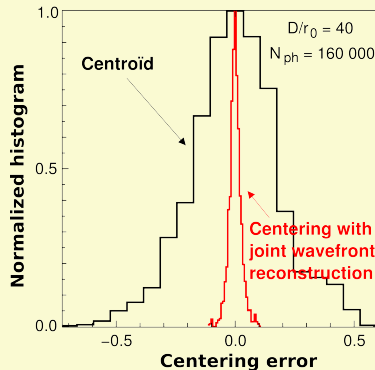
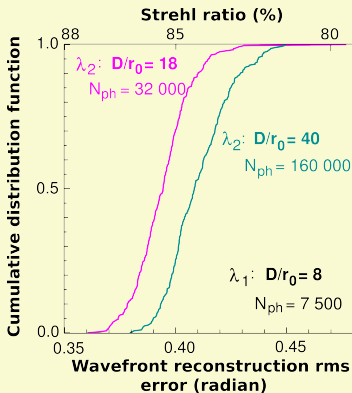
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**Effective ultimate centering accuracy  
thanks to joint wavefront sensing**

# Conclusion and perspectives

Rondeau et al. 2007

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### ★ Global optimisation for the wavefront reconstruction pb

- ◆  $D/r_0$  from 4 (previous works) to 11 with a single wavelength
- ◆  $D/r_0 \simeq 70$  using **polychromatic diversity** with 2 wavelengths
- ◆ **Automatic strategy** with respect to noise and turbulence fluctuations
- ◆ **Fast limited-memory local optimization and global strategy**

☞ Application to myopic deconvolution or adaptive optics

### ★ Benefit for tip-tilt estimation $\simeq 0.3 D/r_0$

☞ Blind deconvolution with an interferometric P-LGS